

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

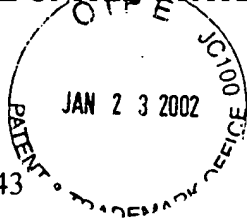
In re the application of

SLOAN et al.

Application No. 09/976,443

Filed: October 12, 2001

For: AUTOMATED COACHING FOR A FINANCIAL  
MODELING AND COUNSELING SYSTEM



Examiner: Not assigned

Group Art Unit: 2165

Date: December 15, 2001

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, D.C. 20231 on December 15, 2001.

Signed:

*Yvonne Mock*  
Yvonne Mock

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents  
**BOX: MISSING PARTS**  
Washington, DC 20231

Sir:

Enclosed herewith are the original, executed Declaration and Power of Attorney for Patent Application and Assignment and Assignment Recordation Sheet in response to the Notice to File Missing Parts of Application--Filing Date Granted mailed November 29, 2001. Please file these documents in the subject application.

- |                               |           |
|-------------------------------|-----------|
| 1) Missing Parts Surcharge    | \$ 130.00 |
| 2) Assignment Recordation Fee | \$ 40.00  |

Total \$ 170.00

The Commissioner is authorized to charge any required fees that are due to our Deposit Account No. 02-3964 (Order No. 60021.376002). A copy of this sheet is enclosed for this purpose.

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Respectfully submitted,  
OPPENHEIMER WOLFF & DONNELLY, LLP

Rambod Nader  
Reg. No. 47,262

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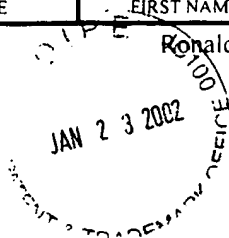


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,443	10/12/2001	Ronald E. Sloan	60021.376002

OPPENHEIMER WOLFF & DONNELLY, LLP  
1400 Page Mill Road  
Palo Alto, CA 94304



CONFIRMATION NO. 2727

## FORMALITIES LETTER



\*OC000000007138773\*

Date Mailed: 11/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

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Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Stephen B. Slutsky*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1 inch) and top, bottom and right margins of at least 2.0 cm (3/4 inch)*
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/28/2002 BABRAHA1 00000106 023964 09976443

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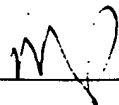
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*A copy of this notice MUST be returned with the reply.*

A handwritten signature, possibly reading 'M2', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

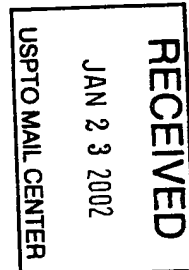
PART 2 - COPY TO BE RETURNED WITH RESPONSE

OPPENHEIMER

OPPENHEIMER WOLFF & DONNELLY LLP  
P.O. Box 10356  
Palo Alto, CA 94034



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